
Right-touch assurance – a risk based approach to professional regulation

Introduction

- Assessment tool to inform decisions on the appropriate level of oversight for occupations and professions in health and social care
- Foundations in *Right-touch regulation*
- Support / interest from a variety of stakeholders
- Learning from Accredited Registers Programme
 - simple, proportionate, effective and cost efficient

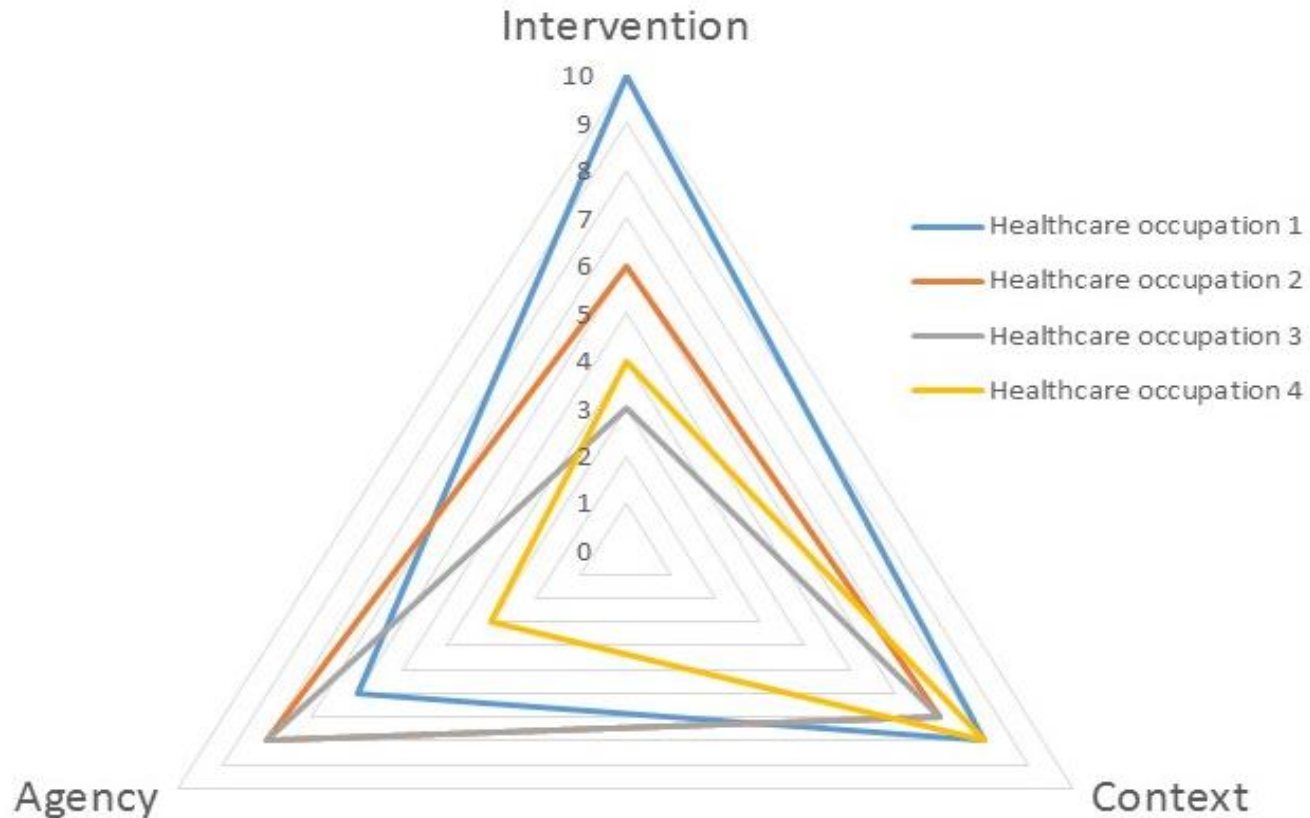
Stage 1 – Profiling the intrinsic risk of harm

- First stage of an integrated model in two parts
- Stage 1 - profiling risk in an occupation / profession
- Need for a broad assessment of the different areas where there may be risks of harm within an occupation / profession

Stage 1 – Profiling the intrinsic risk of harm

- Three categories of hazard:
 - intervention
 - context
 - agency
- Evidence template

Stage 1 – Profiling the intrinsic risk of harm



Stage 2 - Extrinsic factors

- Scale of risk
- Means of assurance
- Sector impact
- Risk perception
- Unintended consequences

- Evidence template

Stage 2 – Means of assurance

- Not a binary choice on whether or not to regulate by statute
- Range of options available other than statutory regulation to mitigate risks:
 - an employer-led code of practice and minimum training standards
 - an accredited register
 - a statutory code of practice, combined with prohibition orders

Advisory Panel

- Clear and known remit
- Capacity to analyse complex data on risk
- Committed to an evidence-based approach

Benefits of approach

- Recognises different types of risk
- Patient / service user focused
- Promotes evidence-based decisions and resisting over-regulation
- Transparent, independent process
- Potential for broader applicability
- More nuanced approach to the term 'regulation'

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